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Audit No	Directorate/Service	Audit Area	Audit Type	Planned Quarter	Status	Opinion	No. of recs	Recommendations				
								High 5	4	3	2	Low 1
<b>FINAL REPORTS ISSUED DURING 2011-12</b>												
1	Chief Executives	Council Tax	Key Control	April 2011	Final	Substantial	7	0	0	3	0	4
2	Chief Executives	Contract Administration	Operational	April 2011	Final	Limited	9	4	0	5	0	0
3	Children & Education	Trading Services - Braeside	Operational	April 2011	Final	Limited	13	2	0	5	0	6
4	Community Services	Area Boards, Communities	Operational	April 2011	Final	Substantial	11	0	0	0	0	11
5	Community Services	Care Homes	Operational	April 2011	Final	Substantial	4	2	0	0	0	2
6	Community Services	Care Placements (Corporate Risk CR003)	Operational	April 2011	Final	Summary	0	0	0	0	0	0
7	Community Services	Court of Protection	Operational	April 2011	Final	Limited	12	2	0	8	0	2
8	Community Services	Court of Protection	Follow Up	February 2012	Final	Summary	0	0	0	0	0	0
9	Community Services	Orders of St John (OSJ) Care Homes Contract	Operational	April 2011	Final	Limited	9	0	0	5	0	4
10	Community Services	Traveller Services	Operational	April 2011	Final	No	10	6	0	4	0	0
11	Community Services	Traveller Services (Follow Up)	Follow Up	November 2011	Final	Limited	10	1	0	5	0	4
12	Corporate	SAP (IT)	Operational	2010/11	Final	Substantial	15	0	0	4	0	11
13	Corporate	Grants	Operational	April 2011	Final	Substantial	7	0	0	0	0	7
14	Corporate	SAP Administration	Operational	April 2011	Final	Summary	0	0	0	0	0	0
15	Finance	Accounts Payable	Key Control	November 2011	Final	Substantial	8	0	0	0	0	8
16	Finance	Accounts Receivable	Key Control	November 2011	Final	Substantial	1	0	0	0	0	1
17	Finance	Cash Investments & Borrowing	Key Control	November 2011	Final	Full	1	0	0	0	0	1
18	Finance	Contract Management	Operational	April 2011	Final	Limited	9	4	0	4	0	1
20	Finance	Housing & Council Tax Benefits	Key Control	January 2012	Final	Limited	4	0	0	2	0	2
21	Finance	Management Accounting / Budgeting	Key Control	November 2011	Final	Substantial	3	0	0	1	0	2
22	Finance	Payroll	Key Control	November 2011	Final	Substantial	7	0	0	0	0	7
23	Finance	Pensions	Key Control	November 2011	Final	Substantial	8	0	0	2	0	6
24	Housing Services	Housing Rents	Key Control	January 2012	Final	Substantial	9	0	0	3	0	6
25	ICT	Mobile Phones	ICT	April 2011	Final	Limited	8	2	0	6	0	0
26	ICT	IT Infrastructure	Key Control	April 2011	Final	Substantial	14	0	0	9	0	5
27	ICT	Revenue and Benefits (IT) - North	Key Control	2010/11	Final	Limited	26	0	0	15	0	11
28	ICT	Revenue and Benefits (IT) - West	Key Control	2010/11	Final	Limited	17	0	0	9	0	8
29	ICT	Revenue and Benefits (IT) - East	Key Control	2010/11	Final	Limited	18	0	0	10	0	8

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30	ICT	Revenue and Benefits (IT) - South	Key Control	2010/11	Final	Limited	20	0	0	8	0	12
31	ICT	Housing Rents (Simdell)	Key Control	2010/11	Final	Substantial	18	0	0	10	0	8
32	ICT	Cash Management (IT Civica)	Key Control	2010/11	Final	Substantial	23	0	0	17	0	6
33	ICT	Pensions	Key Control	April 2011	Final	Limited	9	1	0	4	0	4
34	ICT	Core Financial Systems - SAP	Key Control	November 2011	Final	Substantial	23	0	0	4	0	19
35	Neighbourhood & Planning	Fleet Management	Operational	2010/11	Final	Limited	20	2	0	11	0	7
36	Neighbourhood & Planning	Concessionary Travel	Operational	November 2011	Final	Substantial	3	0	0	1	0	2
37	Neighbourhood & Planning	Highways Maintenance Network	Follow Up	February 2012	Final	Summary	0	0	0	0	0	0
38	Neighbourhood & Planning	Markets	Operational	April 2011	Final	Substantial	3	0	0	1	0	2
39	Neighbourhood & Planning	Planning Applications	Operational	April 2011	Final	Limited	18	0	0	5	0	13
40	Neighbourhood & Planning	Provision of Highways Services, Ringway	Follow Up	February 2012	Final	Summary	0	0	0	0	0	0
41	Neighbourhood & Planning	Waste Collection (Corporate Risk CR027)	Operational	April 2011	Final	Summary	0	0	0	0	0	0
42	Neighbourhood & Planning	Waste Management & Landfill Strategy (Corporate Risk CR001)	Operational	April 2011	Final	Summary	0	0	0	0	0	0
43	Transformation & Resources	Internal Governance	Operational	April 2011	Final	Substantial	2	0	0	1	0	1
44	Transformation & Resources	Pre-Employment Screening	Operational	April 2011	Final	Substantial	8	0	0	2	0	6
<b>SCHOOLS AUDITS UNDERTAKEN DURING 2011-12</b>												
45	Schools - Primary (incl First, Infant & Junior)	All Cannings Primary School	School	February 2012	Final	Full	1	0	0	0	0	1
46	Schools - Primary (incl First, Infant & Junior)	Brinkworth Earl Danby's Church of England Primary School	School	October 2011	Final	Substantial	5	0	0	0	0	5
47	Schools - Primary (incl First, Infant & Junior)	Christian Malford Church of England Primary School	School	November 2011	Final	Substantial	2	0	0	1	0	1
48	Schools - Primary (incl First, Infant & Junior)	Churchfields, The Village School	School	October 2011	Final	Substantial	7	0	0	2	0	5
49	Schools - Primary (incl First, Infant & Junior)	Devizes Southbroom Infants School	School	December 2011	Final	Substantial	3	0	0	1	0	2
50	Schools - Primary (incl First, Infant & Junior)	Easton Royal Community Primary School	School	November 2011	Final	Substantial	3	0	0	3	0	0
51	Schools - Primary (incl First, Infant & Junior)	Forest & Sandridge Church of England Primary School	School	January 2012	Final	Substantial	3	0	0	2	0	1
52	Schools - Primary (incl First, Infant & Junior)	Holt Voluntary Controlled Primary School	School	January 2012	Final	Substantial	2	0	0	0	0	2
53	Schools - Primary (incl First, Infant & Junior)	Langley Fitzurse Church of England Primary School	School	October 2011	Final	Substantial	3	0	0	2	0	1
54	Schools - Primary (incl First, Infant & Junior)	Luckington Community School	School	January 2012	Final	Limited	11	0	0	9	0	2
55	Schools - Primary (incl First, Infant & Junior)	Monkton Park Community Primary School	School	January 2012	Final	Substantial	3	0	0	3	0	0
56	Schools - Primary (incl First, Infant & Junior)	New Close Community School	School	November 2011	Final	Full	1	0	0	0	0	1
57	Schools - Primary (incl First, Infant & Junior)	North Bradley Church of England Primary School	School	November 2011	Final	Substantial	7	0	0	3	0	4
58	Schools - Primary (incl First, Infant & Junior)	Seend Church of England Voluntary Aided Primary School	School	January 2012	Final	Substantial	3	0	0	3	0	0
59	Schools - Primary (incl First, Infant & Junior)	Semley Church of England Voluntary Aided Primary School	School	November 2011	Final	Substantial	3	0	0	1	0	2
60	Schools - Primary (incl First, Infant & Junior)	Sherston Church of England Primary School	School	January 2012	Final	Substantial	6	0	0	4	0	2

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61	Schools - Primary (incl First, Infant & Junior)	Southbroom Church of England Junior School	School	December 2011	Final	Substantial	7	0	0	3	0	4
62	Schools - Primary (incl First, Infant & Junior)	St Joseph's Catholic Primary School Malmesbury	School	January 2012	Final	Substantial	2	0	0	1	0	1
63	Schools - Primary (incl First, Infant & Junior)	St Nicholas Church of England (V.C.) Primary School Bromham	School	October 2011	Final	Limited	14	0	0	8	0	6
64	Schools - Primary (incl First, Infant & Junior)	The Manor Church of England Primary School	School	September 2011	Final	Substantial	6	0	0	1	0	5
65	Schools - Primary (incl First, Infant & Junior)	The Mead Community Primary School	School	January 2012	Final	Substantial	2	0	0	2	0	0
66	Schools - Primary (incl First, Infant & Junior)	The Minster Church of England Primary School	School	November 2011	Final	Substantial	5	0	0	2	0	3
67	Schools - Primary (incl First, Infant & Junior)	Whitesheet Church of England Voluntary Aided Primary School	School	November 2011	Final	Substantial	8	0	0	5	0	3
68	Schools - Primary (incl First, Infant & Junior)	Winsley Church of England Voluntary Controlled Primary School	School	November 2011	Final	Full	1	0	0	0	0	1
69	Schools - Primary (incl First, Infant & Junior)	Wootton Bassett Infants	School	January 2012	Final	Substantial	8	0	0	4	0	4
70	Schools - Primary (incl First, Infant & Junior)	Wylve Valley School	School	November 2011	Final	Substantial	7	1	0	5	0	1
71	Schools & Learning	Schools Advice	Advice	April 2011	Final	Summary	0	0	0	0	0	0
72	Schools & Learning	Themed Reviews (Contingency)	School	January 2012	Final	Summary	0	0	0	0	0	0
73	Schools - Primary (incl First, Infant & Junior)	Harnham Infant School	School	November 2011	Final	Substantial	4	0	0	4	0	4
74	Schools - Primary (incl First, Infant & Junior)	Urchfont C.E. Primary School	School	January 2012	Final	Substantial	4	0	0	3	0	1
<b>ONGOING ADVICE DURING 2011-12</b>												
75	Chief Executives	Banking (HSBCnet)	Advice	April 2011	Completed	Advice	0	0	0	0	0	0
76	Children & Education	Care Placements (Corporate Risk CR003)	Advice	April 2011	Final	Summary	0	0	0	0	0	0
77	Client Support	Corporate Advice	Ongoing Advice	April 2011	Completed	Advice	0	0	0	0	0	0
78	Client Support	Corporate Meetings	Ongoing Advice	April 2011	Completed	Advice	0	0	0	0	0	0
79	Client Support	External Audit	Ongoing Advice	April 2011	Completed	Advice	0	0	0	0	0	0
80	Corporate	National Fraud Initiative	Ongoing Advice	April 2011	Completed	Summary	0	0	0	0	0	0
81	Corporate	Project Management	Operational	November 2011	Completed	Advice	0	0	0	0	0	0
82	Corporate	Anti Fraud & Corruption	Ongoing Advice	April 2011	Completed	Summary	0	0	0	0	0	0
83	Finance	Risk Management	Advice	November 2011	Completed	Advice	0	0	0	0	0	0
84	Neighbourhood & Planning	Housing PFI Scheme (Corporate Risk CR001)	Advice	April 2011	Final	Summary	0	0	0	0	0	0
85	Neighbourhood & Planning	Carbon Reduction (Corporate Risk CR008)	Advice	April 2011	Final	Summary	0	0	0	0	0	0
<b>DRAFT REPORTS ISSUED DURING 2011-12</b>												
86	Children & Education	Child Placements Out of County	Operational	November 2011	Draft	TBC	7	1	0	3	0	3
87	Children & Education	Safeguarding (Child Protection)	Operational	November 2011	Draft	TBC	18	3	0	6	0	9
88	ICT	Core Financial Systems - Benefit Systems	ICT	November 2011	Draft	TBC	7	0	0	0	0	7
89	ICT	Core Financial Systems - Cash Receipting (Civica)	ICT	November 2011	Draft	TBC	16	0	0	0	0	16

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90	ICT	Core Financial Systems - Housing Rents (Simdell)	ICT	November 2011	Draft	TBC	4	0	0	0	0	4
91	ICT	IT Infrastructure	ICT	April 2011	Draft	TBC	7	2	0	1	0	4
92	ICT	New Revenues & Benefits System (Northgate)	ICT	April 2011	Draft	TBC	0	0	0	0	0	0
93	Neighbourhood & Planning	Leisure Services Management	Operational	November 2011	Draft	TBC	0	0	0	0	0	0
<b>CURRENT WORK IN PROGRESS 2011-12</b>												
94	Children & Education	Child Placements Foster Carers	Operational	November 2011	In Progress		0	0	0	0	0	0
95	Community Services	DCS Systems Thinking Review Programme	Operational	November 2011	In Progress		0	0	0	0	0	0
96	Corporate	Income	Operational	April 2011	In Progress		0	0	0	0	0	0
97	Finance	Procurement & Contract Management	Operational	November 2011	In Progress		0	0	0	0	0	0
98	Neighbourhood	Car Parking Services	Operational	November 2011	In Progress		0	0	0	0	0	0
99	Neighbourhood	StreetScene	Operational	November 2011	In Progress		0	0	0	0	0	0
100	Neighbourhood	Traffic and Network Management	Operational	November 2011	In Progress							
101	Neighbourhood & Planning	Section 106 Agreements	Operational	November 2011	In Progress	Deferred to Q1/Q2 2012/13	0	0	0	0	0	0
102	Transformation & Resources	Temporary Staff / Consultants	Operational	November 2011	In Progress	Deferred to Q1/Q2 2012/13	0	0	0	0	0	0
<b>ADDITIONAL UNPLANNED WORK</b>												
103	Chief Executives	Cash and Physical Assets	N/A									
104	Children & Education	Communications	Special Investigation									
105	Dept for Children & Education	Cash and Physical Assets	N/A									
106	Finance	Revenue and Benefits - Migration Work	N/A									
107	Finance	Procurement & Contract Management	Special Investigation									
108	Neighbourhood & Planning	Cash and Cheque Income	N/A									
109	Neighbourhood & Planning	Cash Receipts	N/A									
110	Neighbourhood & Planning	Maintenance Contracts	N/A									
111	Neighbourhood & Planning	Cash and Physical Assets	N/A									
112	Transformation & Resources	Cash and Physical Assets	N/A									
<b>REMOVED/DEFERRED WORK DUE TO LOSS IN AVAILABLE PRODUCTIVE DAYS (reported to this Committee December 2011)</b>												
113	Chief Executives	Capital Accounting	Operational	November 2011	Removed	Undertaken by External Audit.						

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114	Children & Education	Internal Governance	Operational	November 2011	Removed	Changes in Organisational Structure
115	Community Services	Assessments & Reviews (Care & Financial)	Operational	April 2011	Removed	New processes being implemented.
116	Community Services	Internal Governance	Operational	November 2011	Removed	Changes in Organisational Structure
117	Corporate	Management Restructuring	Operational	March 2012	Removed	Themed audit review - commencing April 2012
118	ICT	CareFirst	Operational	April 2011	Deferred	Date to be agreed.
119	ICT	Customer Relationship Management System	Operational	November 2011	Removed	To be included in 2012/13 plan (if still required) due to loss in available productive days.
120	ICT	Housing Management System	Operational	April 2011	Removed	Agreed to defer to 2012-13 whilst new systems and processes are being implemented.
121	ICT	Information / IT Management	Operational	April 2011	Removed	To be included in 2012/13 plan (if still required) due to loss in available productive days.
122	ICT	IT Asset Database	Operational	November 2011	Deferred	To include in IT Plan 2012 as part of contingency.
123	Corporate	Freedom of Information, Data Protection Act	Operational	November 2011	Deferred	Included in 2012-13 Plan
124	HR & Organisational Development	Sickness Absence	Operational	November 2011	Deferred	Requested by Client - Q2 2012/13
125	Transformation & Resources	Corporate Employment Policies & Procedures	Operational	April 2011	Deferred	Deferred until 2012-13.
126	Public Health & Public Protection	Internal Governance	Operational	November 2011	Removed	Changes in Organisational Structure
127	Public Health & Public Protection	IT systems	Operational	April 2011	Removed	To be included in 2012/13 plan (if still required) due to loss in available productive days.
128	Schools - Primary (incl First, Infant & Junior)	Whiteparish All Saints Church of England Aided Primary School	School	January 2012	Deferred	Completed Q1 2012/13 Opinion = Partial
129	Schools - Primary (incl First, Infant & Junior)	Malmesbury Primary School	School	February 2012	Removed	Proposed Academy school
130	Schools - Primary (incl First, Infant & Junior)	Pembroke Park Primary School	School	January 2012	Deferred	Completed Q1 2012/13 Opinion = Reasonable
131	Schools - Primary (incl First, Infant & Junior)	Wardour Catholic Primary School	School	January 2012	Removed	Abandoned due to LEA intervention at school